

AO 120 (Rev. 3/04)

|     |  |   |   |
|-----|--|---|---|
| TO: | Mail Stop 8  | <b>SOLICITOR</b><br>JUL 30 2007<br>U.S. PATENT & TRADEMARK OFFICE | <b>REPORT ON THE<br/>FILING OR DETERMINATION OF AN<br/>ACTION REGARDING A PATENT OR<br/>TRADEMARK</b> |
|     | Director of the U.S. Patent and Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 |   |   |

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
filed in the U.S. District Court Delaware on the following ☒ Patents or ☐ Trademarks:

|                                 |                                |   |
|---------------------------------|--------------------------------|---|
| DOCKET NO.<br>07cv467           | DATE FILED<br>7/27/07          | U.S. DISTRICT COURT<br>DISTRICT OF DELAWARE                                   |
| PLAINTIFF<br><br>Lonza Group AG |                                | DEFENDANT<br><br>Northwest Biotherapeutics, Inc.                              |
| PATENT OR<br>TRADEMARK NO.      | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK   |
| 1 5,122,464                     | 6/16/92                        | Celltech Limited and The University Court of the University of Glasgow        |
| 2 5,591,639                     | 1/7/97                         | Celltech Ltd.   |
| 3 5,658,759                     | 8/19/97                        | Celltech Limited  |
| 4 5,770,359                     | 6/23/98                        | Celltech Therapeutics Limited and The University of the University of Glasgow |
| 5 5,827,739                     | 10/27/98                       | Celltech Therapeutics Limited and The University of the University of Glasgow |
| 5,879,936                       | 3/9/99                         | Aluguisse Holding A.G.  |
| 5,891,693                       | 4/6/99                         | Aluguisse Holdings A.G.   |
| 5,981,216                       | 11/9/99                        | Aluguisse Holdings A.G.   |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

|                            |   |                               |  |
|----------------------------|---|-------------------------------|--|
| DATE INCLUDED              | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |  |
| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK  | HOLDER OF PATENT OR TRADEMARK |  |
| 1                          |   |                               |  |
| 2                          |   |                               |  |
| 3                          |   |                               |  |
| 4                          |   |                               |  |
| 5                          |   |                               |  |

In the above—entitled case, the following decision has been rendered or judgement issued:

|                    |
|--------------------|
| DECISION/JUDGEMENT |
|--------------------|